

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thompson et al.

Serial No.: 09/419,266

Filed: October 15, 1999

For: SYSTEM AND METHOD FOR  
PERFORMING SUBSTITUTE FUL-  
FILLMENT INFORMATION COMPI-  
TION AND NOTIFICATION



Examiner: Not yet assigned  
COLON

Attorney Docket No.:  
98-40287-US-CIP

2761

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INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.56 ET SEQ.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §1.97(c), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. Applicant encloses herewith the requisite fee (\$240.00) as set forth in 37 C.F.R. § 1.17(p). In compliance with 37 C.F.R. §1.98(a), copies of the patents and publications set forth below and

listed on the attached Form PTO-1449 (1 sheet) have been provided.

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CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub Signature [Signature] Date August 1, 2000

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5,111,391

5,164,897

5,416,694

3,686,482



Fields et al.

Clark et al.

Parrish et al.

Gelder

May, 1992

November, 1992

May, 1995

August, 1972

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the enclosed Form PTOL-1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. §1.56(b).

In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment in connection with this filing to Account No. 18-0586. A duplicate of this authorization is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "D. H. Golub".

Daniel H. Golub

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Dated: August 1, 2000